

1 Register Machines

A reg machine instr is of format:

$$L_1 : R_x^+ \rightarrow L_y$$

$$L_2 : R_x^- \rightarrow L_y, L_z$$

$$L_3 : \text{HALT}$$

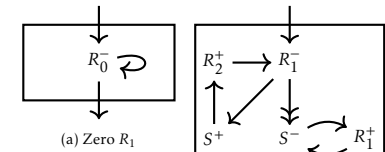
On subtract, jump to first iff $R_x > 0$. A **configuration** has form (l, r_0, \dots, r_n) where l is the current label and r_i is the contents of R_i . A computation is a finite seq of configs starting with c_0 , ending in a **halting config** or erroneous config. Regmachs computation is **deterministic**, so the relation between initial and final configs is a **partial func** ($\forall (x, y) \in f. [(x, y') \in f \Rightarrow y = y']$).

$f(x) = y$	$\langle x, y \rangle \in f$
$f(x) \downarrow$	$\exists y \in Y. [f(x) = y]$
$f(x) \downarrow$	$\nexists y \in Y. [f(x) = y]$
$X \rightarrow Y$	All partial funcs $(X \text{ to } Y)$
$X \rightarrow Y$	All total funcs $(X \text{ to } Y)$

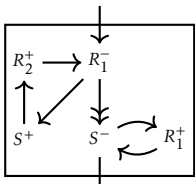
A total func: $\forall x \in X. [f(x) \downarrow]$. A partial func f is **regmach computable** if \exists regmach M with $n+1$ regs s.t. $\forall (x_1, \dots, x_n) \in \mathbb{N}^n. \forall y \in \mathbb{N}$ the computation of M starting with $R_0 = 0, R_1 = x_1, \dots, R_n = x_n$ halts with $R_0 = y$ iff $f(x_1, \dots, x_n) = y$.

Pairs can be encoded as $\langle x, y \rangle \triangleq 2^x(2y+1)$ and $\langle x, y \rangle \triangleq 2^x(2y+1)-1$. where $\langle \cdot, \cdot \rangle$ is a **bijection** $\mathbb{N}^2 \rightarrow \mathbb{N}^+$ and $\langle \cdot, \cdot \rangle$ is a **bijection** $\mathbb{N}^2 \rightarrow \mathbb{N}$. Observe $\text{Ob}\langle x, y \rangle = \text{Ob}y1 + x \text{ 0s}$ and $\text{Ob}(x, y) = \text{Ob}y0 + x \text{ 1s}$. **Lists** may be encoded with pairs with $[[]] \triangleq 0$ and $[x :] \triangleq \langle x, [] \rangle$, giving a bijection $l \mapsto [l]$ from \mathbb{N} to \mathbb{N} . *For example, $[1, 2, 3]$ is encoded as $\text{Ob}100010010$.*

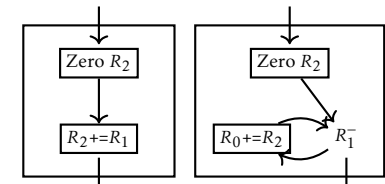
Programs: $[P] \triangleq [[l_0], \dots, [l_n]]$ where $[R_i^+ \rightarrow L_j] \triangleq \langle 2i, j \rangle$, $[R_i^- \rightarrow L_j, L_k] \triangleq \langle 2i+1, j, k \rangle$ and $[\text{HALT}] \triangleq 0$. Its easy to show any x decodes to a unique instruction, so any **program index** $x \in \mathbb{N}$ decodes to a unique program.



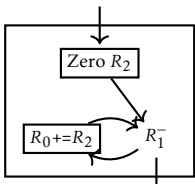
(a) Zero R_1



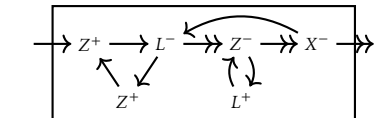
(b) Add R_1 to R_2



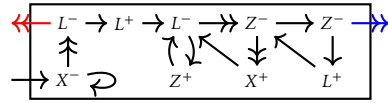
(c) Copy R_1 to R_2



(d) Mult R_1 by R_2 to R_0



(e) Push X to stack L



(f) Pop X from stack L

2 Halting Problem

A RM H decides the **Halting problem** if $\forall e, a_1, \dots, a_n \in \mathbb{N}$, starting H with $R_0 = 0, R_1 = e, R_2 = [a_1, \dots, a_n]$ always halts with $R_0 = 1$ iff the RM prog e halts when started with $R_0 = 0, R_1 = a_1, \dots, R_n = a_n$. We **z** no such H exists:

1. Assume H decides the Halting problem. Let H' replace START in H with START; $Z ::= R_1$; push Z to R_1 .
2. Let C replace HALT in H' with zero R_1 ; HALT, let c be the index of C .
3. C started with $R_1 = c$ **halts** iff H' started with $R_1 = c$ **halts** with $R_0 = 0$, **iff** H started with $R_1 = c, R_2 = [c]$ **halts** with $R_0 = 0$, **iff** prog(c) = C started with $R_1 = c$ **doesn't halt**.

$\forall e \in \mathbb{N}. \phi_e \in \mathbb{N} \rightarrow \mathbb{N}$ is part func computed by regmach prog e : $\forall x, y \in \mathbb{N}. \phi_e(x) = y$ holds iff the computation of prog(e) with $R_0 = 0, R_1 = x$ halts with $R_0 = y$. Thus, $e \mapsto \phi_e$ defines a **surjection** from \mathbb{N} to all **computable** part funcs from $\mathbb{N} \rightarrow \mathbb{N}$ (**countable**), but $\mathbb{N} \rightarrow \mathbb{N}$ is **uncountable**, and contains **uncomputable** funcs.

The **characteristic func** of $S \subseteq \mathbb{N}$ is $\chi_S \in \mathbb{N} \rightarrow \mathbb{N}$ given by $\chi_S(x) \triangleq \begin{cases} 1 & \text{if } x \in S \\ 0 & \text{otherwise} \end{cases}$. S is **decidable** if χ_S is **computable**. To **prove** S is **undecidable**, show its decidability implies the decidability of the halting problem.

3 Turing Machines

Turing Machine $M = \langle Q, \Sigma, s, \delta \rangle$, of states Q , possible tape symbols Σ , initial state $s \in Q$ and transition func $\delta \in (Q \times \Sigma) \rightarrow (Q \times \Sigma \times \{L, R\})$. A **configuration** $\langle q, w, u \rangle$ has **current state** $q \in Q$, **left / right tape content** $w, u \in \Sigma^*$. Initial config $\langle s, \epsilon, u \rangle$.

We can get the **first** and **last** symbols with:

$$\text{first}(w) = \begin{cases} (a, v) & \text{if } w = a \cdot v \\ (\perp, \epsilon) & \text{if } w = \epsilon \end{cases}$$

$$\text{last}(w) = \begin{cases} (a, v) & \text{if } w = v \cdot a \\ (\perp, \epsilon) & \text{if } w = \epsilon \end{cases}$$

Given $M = \langle Q, \Sigma, s, \delta \rangle$, define $\langle q, w, u \rangle \rightarrow_M \langle q', w', u' \rangle$ where $\text{first}(u) = (a, u')$:

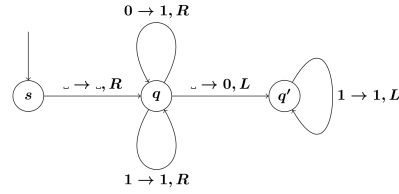
$$\frac{\delta(q, a) = (q', a', L) \wedge \text{last}(w) = (b, w')}{\langle q, w, u \rangle \rightarrow_M \langle q', w', ba'u' \rangle}$$

$$\frac{\delta(q, a) = (q', a', R)}{\langle q, w, u \rangle \rightarrow_M \langle q', w, a'u' \rangle}$$

- If state q , a is read from tape, and δ says move left, mach moves to q' , writes a' to tape, and moves left.
- If state q , a is read from tape, and δ says move right, mach moves to q' , writes a' to tape, and moves right.

$\langle q, w, u \rangle$ is in **normal form** if $\delta(q, a) \uparrow$ for $\text{first}(u) = (a, u')$. A **computation** of M is an infinite config seq where $c_0 = \langle s, \epsilon, u' \rangle$ and $\forall i \in$

$\mathbb{N}. [c_i \rightarrow_M c_{i+1}]$. It **halts** iff the seq is finite i.e. the **last config** is in **normal form**. e.g. graphical representation of M :



We can draw δ in a table.

We can prove any turing mach M can be mapped to a regmach:

1. Fix numerical encoding of M 's states, symbols, tape and configs.
2. Implement δ as a regmach prog.
3. Implement a regmach program to repeatedly apply \rightarrow_M .

A tape over $\Sigma = \{\perp, 0, 1\}$ codes a **list of numbers** $[n_1, \dots, n_k]$ as:

$$\begin{array}{ccccccc} \dots & \perp & \perp & 0 & 1 & \dots & \perp & \dots & \perp & 1 & \dots & 0 & \perp & \dots \\ \text{all } \perp\text{'s} & & & n_1 & & & n_k & & & & & & & \end{array}$$

$f \in \mathbb{N}^n \rightarrow \mathbb{N}$ is **turing computable** iff $\exists M$ s.t. starting M on a tape coding $[x_1, \dots, x_n]$ halts iff $f(x_1, \dots, x_n) \downarrow$ and in that case the final tape holds a list whose first element is y where $f(x_1, \dots, x_n) = y$. A **part fun** is **turing computable** iff it is **regmach computable**.

4 Lambda Calculus

λ -calc is **var** | **abstraction** | **application**.

$$M \triangleq x \mid \lambda x. M \mid MM$$

Free vars are not **bound** in an abstraction. Terms with no free vars are **closed** or **grounded**:

$$\text{FV}(x) = \{x\}$$

$$\text{FV}(\lambda x. M) = \text{FV}(M) - \{x\}$$

$$\text{FV}(MN) = \text{FV}(M) \cup \text{FV}(N)$$

For **α -equivalence**, we can rename **bound** vars without changing term meaning (e.g. $\lambda x. x =_\alpha \lambda y. y$). To help, we can **substitute** $M[N/x]$, replacing x in M with N . We **cannot substitute bound var**, and **must rename conflicts**.

β -reduction means applying a func to an arg (e.g. $(\lambda x. x)y \rightarrow_\beta y$). More formally $(\lambda x. M)N \rightarrow_\beta M[N/x]$. Rules for \rightarrow_β :

$$\frac{M \rightarrow_\beta M'}{\lambda x. M \rightarrow_\beta \lambda x. M'}$$

$$\frac{M \rightarrow_\beta M' \quad N \rightarrow_\beta N'}{MN \rightarrow_\beta M'N'}$$

$$\frac{M =_\alpha M' \wedge M' \rightarrow_\beta N' \wedge N' =_\alpha N}{M \rightarrow_\beta N}$$

A **normal form** has no β -red. Any term that has one **will reach it**. A **multi step β red** \rightarrow_β^* enforces the reflexive transitive closure of β -red under α -conv:

$$\frac{M =_\alpha M'}{M \rightarrow_\beta^* M'} \quad \frac{M \rightarrow_\beta M'' \wedge M'' \rightarrow_\beta^* M'}{M \rightarrow_\beta^* M'}$$

Confluence states $\forall M, M_1, M_2. [M \rightarrow_\beta^* M_1 \wedge M \rightarrow_\beta^* M_2 \Rightarrow \exists M'. [M_1 \rightarrow_\beta^* M' \wedge M_2 \rightarrow_\beta^* M']]$. This can prove that normal forms are unique.

β -equiv is the smallest equiv relation containing \rightarrow_β with symmetry: $M_1 =_\beta M_2 \Leftrightarrow \exists M'. [M_1 \rightarrow_\beta^* M' \wedge M_2 \rightarrow_\beta^* M']$.

A **redex** is a reducible expr. Not all terms have a NF (e.g. $(\lambda x. xx)(\lambda x. xx)$), and some terms only have a NF under certain reduction strats. For redex $E = (\lambda x. M)N$:

- Redexes in M or N are **inside** E .
- E is **outside** any redexes in M or N .
- E is **outermost** if no redexes contain it.
- E is **innermost** if it contains no redexes.

There are many **reduction strategies**:

1. **Normal Order** reduces leftmost, outermost redex first. Always terminates at a NF, but can pform computations on unevaluated func bodies.
2. **Call by Name** reduces leftmost, outermost redex first, ignoring inside λ abstractions. Does not always reduce to NF, evals args when needed.
3. **Call by Value** reduces leftmost, innermost redex first, ignoring inside λ abstractions. Does not always reduce to NF, evals args before passing to func body.

A part func $f : \mathbb{N}^n \rightarrow \mathbb{N}$ is **λ -definable** iff \exists closed M s.t. $f(x_1, \dots, x_n) = y \Leftrightarrow Mx_1, \dots, x_n =_\beta y$ and $f(x_1, \dots, x_n) \uparrow \Leftrightarrow Mx_1, \dots, x_n$ has no NF. The **Church-Turing thesis** states f is **λ -computable** iff it is λ -definable, and hence turing mach computable and regmach computable.

Church numerals are defined as $n \triangleq \lambda f. \lambda x. (f^n. (fx)^n)$. To encode $m + n$, we place the body of n into that of m : $mf(nfx)$. Hence:

- plus $\triangleq_\beta \lambda m. \lambda n. \lambda f. \lambda x. mf(nfx)$ **Adds Church numerals m and n**
- mult $\triangleq_\beta \lambda m. \lambda n. \lambda f. m(nf)$ **Multiplies Church numerals m and n**
- exp $\triangleq_\beta \lambda m. \lambda n. nm$ **Exponentiates Church numerals as m^n**
- succ $\triangleq_\beta \lambda n. \lambda f. \lambda x. f(nfx)$ **Returns the successor of n (i.e., $n+1$)**
- pred $\triangleq_\beta \lambda n. \lambda f. \lambda x. n(\lambda g. \lambda h. h(gf))(\lambda u. x)(\lambda u. u)$ **Computes the predecessor of n , clamping at 0**
- sub $\triangleq_\beta \lambda m. \lambda n. n \text{ pred } m$ **max(0, $n-m$)**
- ifz $\triangleq_\beta \lambda m. \lambda x_1. \lambda x_2. m(\lambda z. x_2)x_1$ **x_1 if $m = 0$, otherwise x_2**
- double $\triangleq_\beta \lambda n. \lambda f. \lambda x. n(f \circ f)x$
- div $\triangleq_\beta Y(\lambda r. \lambda m. \lambda n. \text{ifz}(\text{sub } m\ n) \text{ zero}(\lambda _ . \text{succ}(r(\text{sub } m\ n))))$ **Returns $\lfloor m/n \rfloor$**
- mod $\triangleq_\beta Y(\lambda r. \lambda m. \lambda n. \text{ifz}(\text{sub } m\ n) m(\lambda _ . r(\text{sub } m\ n))))$ **Remainder of m divided by n**
- pair $\triangleq_\beta \lambda v_1 v_2. (\lambda p. pv_1 v_2)$
- fst $\triangleq_\beta \lambda p. p(\lambda w_1 w_2. w_1)$
- snd $\triangleq_\beta \lambda p. p(\lambda w_1 w_2. w_2)$

Common **combinators** (no free vars) are:

I	$I \triangleq \beta \lambda x. x$
K	$K \triangleq \beta \lambda x y. x$
S	$S \triangleq \beta \lambda x y z. xz(yz)$
T	$T \triangleq \beta \lambda x y. yx$
C	$C \triangleq \beta \lambda x y z. xzy$
B	$B \triangleq \beta \lambda x y z. x(yz)$
B'	$B' \triangleq \beta \lambda x y z. y(xz)$
W	$W \triangleq \beta \lambda x y. xyy$
Y	$Y \triangleq \beta \lambda f. (\lambda x. f(xx))(\lambda x. f(xx))$

For example, we define recursive fact $\triangleq_\beta \lambda n. \text{ifz } n \text{ 1 (mult } n \text{ (fact(pred } n)))$. Instead, we could use the Y -combinator, removing recursion. Then: $\text{fact} \triangleq_\beta Y(\lambda f. \lambda n. \text{ifz } n \text{ 1 (mult } n \text{ (f(pred } n))))$.

5 Operational Semantics

While lang is defined as:

$$\begin{aligned} B \in \text{Bool} &\triangleq \text{true} | \text{false} | E_1 =_s E_2 | E_1 <_s E_2 \\ | B_1 \&_s B_2 | \neg_s B \\ E \in \text{Exp} &\triangleq x | n | E_1 +_s E_2 \quad \text{s.t. } x \in \text{Var}, n \in \mathbb{N} \\ C \in \text{Com} &\triangleq x ::= E | \text{if } B \text{ then } C_1 \text{ else } C_2 \\ | C_1 ; C_2 | \text{skip} | \text{while } B \text{ do } C \end{aligned}$$

We also define a smaller *SimpExp* as $E \in \text{SimpExp} \triangleq n | E_1 +_s E_2$. A **big step semantic** $\Downarrow \subseteq \text{SimpExp} \times \mathbb{N}$ where $E \Downarrow n$ means E evals to n (*final answer*):

$$\begin{aligned} \text{B-NUM} &\frac{n \Downarrow n}{n \Downarrow n} \\ \text{B-ADD} &\frac{E_1 \Downarrow n_1 \quad E_2 \Downarrow n_2}{E_1 +_s E_2 \Downarrow n_3} n_3 = n_1 + n_2 \end{aligned}$$

It is **determinant** $\forall E \in \text{SimpExp}. \forall n_1, n_2 \in \mathbb{N}. [E \Downarrow n_1 \wedge E \Downarrow n_2 \implies n_1 = n_2]$ and **total** $\forall E \in \text{SimpExp}. \exists n \in \mathbb{N}. E \Downarrow n$.

An example **derivation tree** is:

$$F \frac{B \frac{\langle x+1, [x \mapsto 0] \Downarrow 1 \quad s[x \mapsto 1] = s'}{\langle x := x+1, [x \mapsto 0] \Downarrow [x \mapsto 1] \rangle} F \dots}{\langle \text{loop}(x := x+1, [x \mapsto 0]) \Downarrow s'' \rangle} F \dots$$

A **small step semantic** $\rightarrow \subseteq \text{SimpExp} \times \text{SimpExp}$ where $E \rightarrow E'$ means E *reduces* to E' . To eval, use these rules in-order:

$$\begin{aligned} \text{S-LEFT} &\frac{E_1 \rightarrow E'_1}{E_1 +_s E_2 \rightarrow E'_1 +_s E_2} \\ \text{S-RIGHT} &\frac{E_2 \rightarrow E'_2}{n +_s E_2 \rightarrow n +_s E'_2} \\ \text{S-ADD} &\frac{}{n_1 +_s n_2 \rightarrow n_3} n_3 = n_1 + n_2 \end{aligned}$$

A *reflexive transitive closure* $E \rightarrow^* E'$ holds iff $E = E'$ or \exists a finite seq. $E \rightarrow \dots \rightarrow E'$. E is in **normal form** iff $\nexists E'. E \rightarrow E'$. \rightarrow is: **Deterministic** $\forall E, E_1, E_2. [E \rightarrow E_1 \wedge E \rightarrow E_2 \implies E_1 = E_2]$. **Confluent** $\forall E, E_1, E_2. [E \rightarrow^* E_1 \wedge E \rightarrow^* E_2 \implies \exists E'. [E_1 \rightarrow^* E' \wedge E_2 \rightarrow^* E']]$. **Weakly Normalized** $\forall E. \exists E'. [E \rightarrow^* E' \wedge E' \text{ is normal}]$. **Strongly Normalized** $\forall E. [\nexists \text{ inf seq. } E_1, \dots, E_n \text{ s.t. } \forall i \in \mathbb{N}. E_i \rightarrow E_{i+1}]$. **Has Unique NF** $\forall E, E_1, E_2. [E \rightarrow^* E_1 \wedge E \rightarrow^* E_2 \wedge E_1, E_2 \text{ in NF} \implies E_1 = E_2]$. We can relate $\forall E \in \text{SimpExp}. \forall n \in \mathbb{N}. [E \Downarrow n \Leftrightarrow E \rightarrow^* n]$.

State $\triangleq \text{Var} \rightarrow \mathbb{N}$ (e.g. $s_1 = (x \mapsto 1)$). A **configuration** is $\langle E, s \rangle$. A **state update**:

$$s[v \mapsto n](u) = \begin{cases} n & \text{if } u = v \\ s(u) & \text{otherwise} \end{cases}$$

$\rightarrow_e, \rightarrow_b, \rightarrow_c$ are *deterministic & confluent*:

$$\begin{aligned} \text{EXPL} &\frac{\langle E_1, s \rangle \rightarrow_e \langle E'_1, s' \rangle}{\langle E_1 +_s E_2, s \rangle \rightarrow_e \langle E'_1 +_s E_2, s' \rangle} \\ \text{EXPR} &\frac{\langle E, s \rangle \rightarrow_e \langle E', s' \rangle}{\langle n +_s E, s \rangle \rightarrow_e \langle n +_s E', s' \rangle} \\ \text{EXP.VAR} &\frac{}{\langle x, s \rangle \rightarrow_e \langle n, s \rangle} n = s(x) \\ \text{EXP.ADD} &\frac{}{\langle n_1 +_s n_2, s \rangle \rightarrow_e \langle n_3, s \rangle} n_3 = n_1 + n_2 \\ \text{ASS.EXP} &\frac{\langle E, s \rangle \rightarrow_e \langle E', s' \rangle}{\langle x ::= E, s \rangle \rightarrow_c \langle x ::= E', s' \rangle} \\ \text{ASS.NUM} &\frac{}{\langle x ::= n, s \rangle \rightarrow_c \langle \emptyset, s[x \mapsto n] \rangle} \\ \text{SEQ.L} &\frac{\langle C_1, s \rangle \rightarrow_c \langle C'_1, s' \rangle}{\langle C_1 ; C_2, s \rangle \rightarrow_c \langle C'_1 ; C_2, s' \rangle} \\ \text{SEQ.SKIP} &\frac{}{\langle \emptyset ; C, s \rangle \rightarrow_c \langle C, s \rangle} \\ \text{CND.T} &\frac{}{\langle \text{tt} ? C_1 : C_2, s \rangle \rightarrow_c \langle C_1, s \rangle} \\ \text{CND.F} &\frac{}{\langle \text{ff} ? C_1 : C_2, s \rangle \rightarrow_c \langle C_2, s \rangle} \\ \text{CND.B} &\frac{\langle B, s \rangle \rightarrow_b \langle B', s' \rangle}{\langle B ? C_1 : C_2, s \rangle \rightarrow_c \langle B' ? C_1 : C_2, s' \rangle} \\ \text{WHILE} &\frac{}{\langle \Downarrow B : C, s \rangle \rightarrow_c \langle B ? (C; \Downarrow B : C) : \emptyset, s \rangle} \end{aligned}$$

Answer configs are of form $\langle n, s \rangle$, $\langle \text{bv}, s \rangle$, or $\langle \emptyset, s \rangle$. **Stuck configs** are non-answer NF configs. \rightarrow_c is **not normalizing**, e.g. $\Downarrow \text{tt} : \emptyset$.

An op is **strict** if it needs to eval an arg. E.g. $+_s$ is **strict**, and $\&_s$ is **left-strict**. $\&_s$:

$$\begin{aligned} &\frac{B_1 \rightarrow B'_1}{B_1 \&_s B_2 \rightarrow B'_1 \&_s B_2} \\ &\frac{}{\text{ff} \&_s B_2 \rightarrow \text{ff}} \quad \frac{}{\text{tt} \&_s B_2 \rightarrow B_2} \end{aligned}$$

6 Inductive Proofs

An *inductive principle* on SimpExpr is:

$$\begin{aligned} &\forall n \in \mathbb{N}. P(n) \\ &\wedge \forall E_1, E_2. [P(E_1) \wedge P(E_2) \implies P(E_1 +_s E_2)] \\ &\wedge \forall E_1, E_2. [P(E_1) \wedge P(E_2) \implies P(E_1 \times_s E_2)] \\ &\implies \forall E. P(E) \end{aligned}$$

- Base Case** state *to show* and prove for each case. Ass arb LHS, and prove RHS.
- Inductive Case** *to show* for $i+1$ th. Then state *inductive hypothesis* assuming i th, or $j \leq i+1$ th. Prove each case. The inductive hypothesis are constructed from the top of a rule.

Base Case for $E = n$ and $S(E) = n$:

To Show $\forall n \in \mathbb{Z}. \forall s \in \text{State}. \forall m \in \mathbb{Z}. [\dots]$. **ONE LESS RESTRICTION THAN WHAT WE ARE PROVING**

(1) \dots
:
:

Inductive Case for $E = E_1 + E_2$ and $S(E_1 + E_2) = \mathcal{S}(E_1) + \mathcal{S}(E_2)$: Take E_1, E_2 arbitrary.

Inductive Hypothesis: $\forall s \in \text{State}. \forall m \in \mathbb{Z}. [\dots]$. **ONE LESS RESTRICTION THAN WHAT WE ARE PROVING**
To Show: *Same as in base case.*

(9) \dots
:
:

7 Denotational Semantics

An **expr ctx** $C^e \triangleq \cdot | E +_s C^e | C^e +_s E | \dots$ where \cdot is the **ctx hole** (e.g. $C^e[\cdot] = \cdot +_s 2$). **Ctx application** is done by filling the hole $C^e[E]$, defined recursively as:

$$\begin{aligned} (\cdot)[E] &\triangleq E \\ (C^e +_s E')[E] &\triangleq C^e[E] +_s E' \\ (E' +_s C^e)[E] &\triangleq E' +_s C^e[E] \end{aligned}$$

This allows to combine EXP.L and EXP.R:

$$\text{EXP.E} \frac{\langle E, s \rangle \rightarrow_e \langle E', s' \rangle}{\langle C^e[E], s \rangle \rightarrow_e \langle C^e[E'], s' \rangle}$$

Weak contextual equivalence means $E_1 \sim E_2 \triangleq \forall n \in \mathbb{N}. [E_1 \rightarrow^* n \Leftrightarrow E_2 \rightarrow^* n]$, **contextual equivalence** means $E_1 \cong E_2 \triangleq \forall C^e[\cdot]. [C^e[E_1] \sim C^e[E_2]]$. **Denotational semantics** describe the *meaning* of a prog. **Interpretation** $\llbracket _ \rrbracket \subseteq \text{SimpExp} \times \mathbb{N}$ over a **semantic domain** \mathbb{N} :

$$\begin{aligned} \llbracket n \rrbracket &\triangleq n \\ \llbracket E_1 +_s E_2 \rrbracket &\triangleq \llbracket E_1 \rrbracket + \llbracket E_2 \rrbracket \end{aligned}$$

We can now prove properties on $+_s$. Also, we can define a *func between meanings*: $\forall C^e. \exists f \subseteq \mathbb{N}^2. [\llbracket C^e[E] \rrbracket = f(\llbracket E \rrbracket)]$. Consequently, $\forall C^e. \forall E_1, E_2. [\llbracket E_1 \rrbracket = \llbracket E_2 \rrbracket \implies \llbracket C^e[E_1] \rrbracket = \llbracket C^e[E_2] \rrbracket]$, and hence $\llbracket E \rrbracket = n \Leftrightarrow E \Downarrow n$. Also, $E_1 \cong E_2 \Leftrightarrow \llbracket E_1 \rrbracket = \llbracket E_2 \rrbracket$. Let Σ be the set of all states, and $\Sigma_\perp = \Sigma \cup \{\perp\}$. These **semantic domain of commands** is given by the set of **state transformers** $\mathcal{S}_c \triangleq [\Sigma \rightarrow \Sigma_\perp]$, a set of total functions that take an initial state, and return either a final state of \perp . Similarly, $\mathcal{S}_e \triangleq [\Sigma \rightarrow \mathbb{N}_\perp]$ and $\mathcal{S}_b \triangleq [\Sigma \rightarrow \mathbb{B}_\perp]$.

$\llbracket _ \rrbracket^e : \text{Exp} \rightarrow \mathcal{S}_e$ is defined as:

$$\begin{aligned} \llbracket n \rrbracket^e(s) &\triangleq n \\ \llbracket x \rrbracket^e(s) &\triangleq se_{\text{lup}}(x)(s) \\ \llbracket E_1 +_s E_2 \rrbracket^e(s) &\triangleq se_{\text{plus}}(\llbracket E_1 \rrbracket^e, \llbracket E_2 \rrbracket^e)(s) \\ \text{Lookup} \quad se_{\text{lup}}(x)(s) &= \begin{cases} s(x) & s(x) \downarrow \\ \perp & \text{o.w.} \end{cases} \\ \text{Addition} \quad se_{\text{plus}}(e_1, e_2)(s) &= \begin{cases} e_1(s) + e_2(s) & e_1(s) \downarrow \wedge e_2(s) \downarrow \\ \perp & \text{o.w.} \end{cases} \end{aligned}$$

$\llbracket _ \rrbracket^c : \text{Com} \rightarrow \mathcal{S}_c$ is defined as:

$$\begin{aligned} \llbracket x ::= E \rrbracket^c(s) &\triangleq sc_{\text{asg}}(\llbracket E \rrbracket^e, x)(s) \\ \llbracket \emptyset \rrbracket^c(s) &\triangleq s \\ \llbracket C_1 ; C_2 \rrbracket^c(s) &\triangleq st_{\text{seq}}(\llbracket C_1 \rrbracket^c, \llbracket C_2 \rrbracket^c)(s) \\ \llbracket B ? C_1 : C_2 \rrbracket^c(s) &\triangleq st_{\text{cnd}}(\llbracket B \rrbracket^b, \llbracket C_1 \rrbracket^c, \llbracket C_2 \rrbracket^c)(s) \\ \llbracket \Downarrow B : C \rrbracket^c(s) &\triangleq (\llbracket B \rrbracket^b, \llbracket C \rrbracket^c)(s) \end{aligned}$$

$$\begin{aligned} \text{Assignment} \quad sc_{\text{asg}}(e, x)(s) &= \begin{cases} s[x \mapsto e(s)] & e(s) \downarrow \\ \perp & \text{o.w.} \end{cases} \\ \text{Sequencing} \quad sc_{\text{seq}}(c_1, c_2)(s) &= \begin{cases} c_2(c_1(s)) & c_1(s) \downarrow \\ \perp & \text{o.w.} \end{cases} \\ \text{Conditional} \quad sc_{\text{cnd}}(b, c_1, c_2)(s) &= \begin{cases} c_1(s) & b(s) \downarrow \wedge b(s) = \text{tt} \\ c_1(s) & b(s) \downarrow \wedge b(s) = \text{ff} \\ \perp & \text{o.w.} \end{cases} \end{aligned}$$

$st_{\text{whl}}(b, c) = st_{\text{cnd}}(b, st_{\text{seq}}(c, st_{\text{whl}}(b, c)), st_{\text{skip}})$, but this is not a definition. Instead:

Assume $F : (A \rightarrow A_\perp) \rightarrow (A \rightarrow A_\perp)$:

- Define seq $\forall i \in \mathbb{N}. f_i : A \rightarrow A_\perp$ where $f_0 \triangleq \perp$ and $f_{i+1} \triangleq F(f_i)$.
- Define func $f_\infty : A \rightarrow A_\perp$ st: $f_\infty(a) \triangleq \begin{cases} a' & \exists i. [f_i(a) = a' \neq \perp] \\ \perp & \text{o.w.} \end{cases}$
- Ass $\forall i, a. [f_i(a) \downarrow \implies f_{i+1}(a) = f_i(a)]$.

By **fixpoint theorem**, f_∞ is a fixpoint of F . For any other fixpoint g of F , $\forall a. [f_\infty(a) \downarrow \implies f_\infty(a) = g(a)]$.

$W(c_w) \triangleq st_{\text{cnd}}(b, st_{\text{seq}}(c, c_w), st_{\text{skip}})$, so $sc_{\text{whl}}(b, c)$ is a *fixpoint* of W .

- Define $\forall i. w_i : \mathcal{S}_c$ where $w_0 \triangleq \perp$ and $w_{i+1} \triangleq W(w_i)$.
- Define $w_\infty : \mathcal{S}_c$ where: $w_\infty(s) \triangleq \begin{cases} s' & \exists i. [w_i(s) = s' \neq \perp] \\ \perp & \text{o.w.} \end{cases}$
- $\forall s \in \Sigma$ ass $w_i(s) \downarrow \implies w_{i+1}(s) = w_i(s)$.
- By **FT**, $sc_{\text{whl}}(b, c) = w_\infty$.

8 Hoare Logic

A *formalism relating the initial and terminating state of a program*. Written with **command** C , **precond** P and **postcond** Q . It is a deductive proof system of **triples** $\{P\} C \{Q\}$. **Assertion lang** is a lang for defining state predicates and their semantics. It is an instance of first order logic with equality, with values as integers and assertions as properties of while statements:

$$\begin{aligned} v \in \text{Vars} &\triangleq \text{id} | \text{id}_{\text{log}} \\ t \in \text{Terms} &\triangleq v \\ P, Q \in \text{Ass} &\triangleq \text{tt} | \text{ff} | P \wedge Q | P \vee Q | P \rightarrow Q \\ &\quad | \forall \text{id}. P | \exists \text{id}. P | t_1 = t_2 \end{aligned}$$

Note that $\neg P \triangleq P \rightarrow \text{ff}$. A term t in state s is written as $\llbracket t \rrbracket(s)$ where $\llbracket _ \rrbracket \subseteq \text{Terms} \times \text{State} \rightarrow \mathbb{Z}$,

and $\llbracket P \rrbracket$ is the set of states that satisfy P in:

$$\begin{aligned} \llbracket v \rrbracket(s) &= s(v) \\ \llbracket \text{ff} \rrbracket &\triangleq \emptyset \\ \llbracket \text{tt} \rrbracket &\triangleq \text{State} \\ \llbracket P \vee Q \rrbracket &\triangleq \llbracket P \rrbracket \cup \llbracket Q \rrbracket \\ \llbracket P \wedge Q \rrbracket &\triangleq \llbracket P \rrbracket \cap \llbracket Q \rrbracket \\ \llbracket P \rightarrow Q \rrbracket &\triangleq \llbracket \neg P \vee Q \rrbracket \\ \llbracket t_1 = t_2 \rrbracket &\triangleq \{s \mid \llbracket t_1 \rrbracket(s) = \llbracket t_2 \rrbracket(s)\} \\ \llbracket \forall v. P \rrbracket &\triangleq \{s \mid \forall m \in \mathbb{K}. s[v \mapsto b] \in \llbracket P \rrbracket\} \\ \llbracket \exists v. P \rrbracket &\triangleq \{s \mid \exists m \in \mathbb{K}. s[v \mapsto b] \in \llbracket P \rrbracket\} \end{aligned}$$

Partial correctness states that for any asserts P, Q and command $C, \models \{P\} C \{Q\} \triangleq \forall s, s'. [s \in \llbracket P \rrbracket \wedge \langle C, s \rangle \Downarrow s' \implies s \in \llbracket Q \rrbracket]$.

We can define rules of Hoare logic:

$$\begin{aligned} \text{H-ASGN} &\frac{}{\vdash \{P[E/X]\} \quad x ::= E \quad \{P\}} \\ \text{H-SEQ} &\frac{\vdash \{P\} C_1 \{Q\} \quad \vdash \{Q\} C_2 \{R\}}{\vdash \{P\} \quad C_1 ; C_2 \quad \{R\}} \\ \text{H-IF} &\frac{\vdash \{P \wedge B\} C_1 \{Q\} \quad \vdash \{P \wedge \neg B\} C_2 \{Q\}}{\vdash \{P\} \quad B ? C_1 : C_2 \quad \{Q\}} \\ \text{H-WHL} &\frac{\vdash \{P \wedge B\} \quad C \quad \{P\}}{\vdash \{P\} \quad \Downarrow B : C \quad \{P \wedge \neg B\}} \\ &\quad \vdash_L P + s \implies P_W \quad \vdash_L Q_S \implies Q_W \\ &\quad \vdash \{P_W\} \quad C \quad \{Q_S\} \\ \text{H-CNSQ} &\frac{}{\vdash \{P_S\} \quad C \quad \{Q_W\}} \end{aligned}$$

Soundness means any triple that can be derived holds semantically:

$\vdash \{P\} C \{Q\} \implies \models \{P\} C \{Q\}$.

Completeness means any triple that holds semantically can be derived:

$\models \{P\} C \{Q\} \implies \vdash \{P\} C \{Q\}$.